# Third Party Payment Declaration Form



Declaration Form No.

Third Party Payment Declaration Form should be completed in English and in BLOCK LETTERS only. (Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

OR OFFICE USE	ONLY																
Date of Receipt				Folio No.					Branch Trans. No.								
BENEFICIAL IN	NVESTOR INFORM	ATION (Refe	r Instruction	No. 2)													
Folio No. (For e	existing investor)							App	lication	n No.							
NAME OF FIRST	T/SOLE APPLICANT (	BENEFICIAL IN	VESTOR)														
Mr. Ms. M/s	5.																
THIRD PARTY	INFORMATION (F	Refer Instructi	on No. 3 )														
NAME OF THIR	D PARTY (PERSON N	MAKING THE P	AYMENT)														
Mr. Ms. M/s	5.																
Nationality				PAI	1#							KYC**	[Please (Manda	tick (v	or any a	Attached mount)	
#Mandatory fo	r any amount. Pleas	e attach PAN	Proof. Refer	instructio	n No	. 6. ** F	efer	instruction	No. 8.						,		
NAME OF CONT	TACT PERSON & DES	IGNATION (in	case of non-Ir	ndividual 1	hird I	Party)											
Mr. Ms.																	
Designation																	
MAILING ADDR	RESS (P.O. Box Addre	ess may not b	e sufficient)														
					_												
CITY				STATE			1					PIN COD	i				_
CONTACT DETA	AILS		STD Code		+							1 1	1 1			1 1	
Tel. : Off.			Tel. : Res.						Mobi	le							
Fax DELATIONISHID	OF THIRD DARTY W	ITH THE DENE	Email	TOP (Pof	or Inc	truction	No 2	A Inlease tick	102	applie	-abla1						_
Status of the	OF THIRD PARTY W	Minor	FICIAL IIVVES	TOR (Rei	er iiis	struction	WO. 3	FII	( v ) as	аррііс	ablej		E	mplo	vee(s)		
Beneficial Investo								Client							.,		
Relationship of Third Party with	Father/Mother/Co appointed Legal G		Grand Parent Rolated Person (Pl	pasp sporify r	D-	Custodian -	SEBI R	Registration No.	of Custo	odian			Emp	ployer			
the Beneficial (Please attach proof of latio		Related Person (Please specify re- onship)			Registration Valid Till   D   D   M   M   Y   Y   Y   Y												
Investor	relationship, if not submitted@)		aximum investme	nt -	-	negistration	valia	D D	M M	YY	Y						
			50,000/- per trar														
Declaration by	Not Applicable for the lower declare that the lower than the				i	IANo doctor	o that t	the payment is i	made on			IMVo doc	are that	the n	www.nti	is made o	_
Third Party	consideration of natur			iri		behalf of F	IV Clier	nt and the source	ce of this			behalf o	femplo	oyee(s)	under		atic
						payment is	Trom TI	unds provided t	o us by r	-IVCIIent		subscripti				m / one-ti eductions.	
THIRD PARTY	PAYMENT DETAI	LS (Refer Inst	ruction No. 4	1)													
Mode of Pay	ment [Please tick (	<b>/)</b> ]	Ma	andator	y En	closure(	5)*										
Cheque								account hold ok / stateme									
						e bank pa aintains a			nt or b	dik du	.count c	or letter in	m the	Dan	c ceru	iyirig u	Idl
Pay Order								er stating the									
Demand Draft			deb	oited for is: debit carry	sue of the b	f the instru ank accou	ment nt det	or Copy of the tails and nam	ne ackn e of the	owled	gement nartv as	from the ba	ink, wi holder	nereir care :	n the in wailab	istructio	ins inv
Banker's Chec	que							videncing th									1-7
RTGS  Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.																	
NEFT Copy Fund Transfer																	
	al Fund/HDFC Asset	Managemen	t Company I	Limited (	"HDF	C AMC	) res	erves the ri	ght to	seek	inform	nation and	/or o	btair	n such	n other	ad
	nents/information fr																
Amount#	n figures (Rs.)																
	n words																
Cheque/DD/P	O/UTR No.					(	hequ	ue/DD/PO/F	RTGS [	Date		DD MM		v	m		
Pay- in Bank A/c I	No.											WW		- 11			
Name of the Ban	k																
Branch						Bank C	ity	1									
Account Type [Plea	ase tick (√)] SAV	INGS C	JRRENT	□ NRE		NRO	-	FCNR		OTHER	RS			- (	please	specify	)
	and Draft charges, if a				-											,	-

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4	DECL	ARAHONS	& SIGNATURE/S	(Refer Instruction 5)

#### THIRD PARTY DECLARATION

WWe confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

We declare that the information declared herein is true and correct, which HDFC Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as HDFC Mutual Fund may require from me/us. IWe agree that, if any such declarations made by me/us are found to be incorrect or incomplete, HDFC Mutual Fund/HDFC AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/ or damage of whatsoever nature that HDFC Mutual Fund/HDFC AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

### Applicable to NRIs only :

IWe confirm that I am/We are Non-Resident of Indian Nationality/Origin and IWe hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account /FCNR Account.

Signature of the Third Party	

#### BENEFICIAL INVESTOR(S) DECLARATION

IWWe certify that the information declared herein by the Third Party is true and correct.

WWe acknowledge that HDFC Mutual Fund reserves the right in its sole discretion to reject/ not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. HDFC Mutual Fund/ HDFC AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of HDFC Mutual Fund/HDFC AMC.

## Applicable to Guardian receiving funds on behalf of Minor only:

IWe confirm that IWe are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme on behalf of the minor.

DD	MM		YYYY	1

First / Sole Applicant / Guardian
Second Applicant
Third Applicant

#### THIRD PARTY PAYMENT RULES

- In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions
- 2a. The following words and expressions shall have the meaning specified herein:
  - (a) "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

#### Illustrations

<u>Illustration 1:</u> An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

<u>Illustration 2:</u> An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

<u>Illustration 3:</u> An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

 HDFC Mutual Fund/ HDFC Asset Management Company Limited ('HDFC AMC') will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:

(i) Payment by Parents/Grand-Parents/Related Persons\* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- for each regular Purchase or per SIP installment. However, this restriction of Rs. 50,000/will not be applicable for payment made by a Guardian whose name is registered in the records of Mutual Fund in that folio (i.e. father, mother or court appointed Legal Guardian).

(This limit of Rs. 50,000 shall not be applicable for investments in HDFC Children's Gift Fund. However, the Donors will have to comply with all the requirements specified in 2c below)

- (ii) Payment by Employer on behalf of employee under Systematic Investment Plans or as lump sum/one-time subscription, through Payroll deductions.
- (iii) Custodian on behalf of an FII or a Client.
- \* 'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.
- Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
  - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of HDFC Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of HDFC AMC or visit our website www.hdfcfund.com for any further information or updates on the same.